



**ACCOUNTANTS PROFESSIONAL LIABILITY INSURANCE
 COVERAGE APPLICATION FORM
 “CLAIMS-MADE” POLICY**

NON-PUBLIC AUDIT SUPPLEMENTAL APPLICATION

1. Please complete the following for any financial statement audits performed for non-public clients during the past twelve (12) months.

Industry	# of Audits	Estimated Fees
Agricultural		
Aviation		
Banks & Financial Institutions		
Benefit Plans		
Broker Dealers		
Construction		
Educational		
Entertainment		
Gaming		
Governmental – Federal		
Governmental – Municipal		
Governmental – State		
Health Care Providers		
Insurance – Life/A&H		
Insurance – Property & Casualty		
Investment Companies & Funds		
Manufacturing		
Media		
Non-Profit Organizations		
Professional		
Real Estate		
Retail		
Service Providers		
Transportation		
Warehouse/Distribution		
Wholesale		
Other *		
TOTAL		

** Please specify, using a separate sheet of paper if necessary.*

2. Does the Applicant have a written policy regarding acceptance of audit engagements? Yes No
3. Is a second partner or firm committee approval required before accepting a new audit engagement?
 Yes No
4. Does a second partner review all audit workpapers? Yes No
5. Does a second partner review the audit report prior to its release? Yes No
6. Does the Applicant require audit-related Continuing Professional Education (CPE) of its members who perform audits? Yes No